

Case Study

# Account Reconciliation Management for an Investment Bank



## About the Client

- The client is a leading US-based investment bank
- It provides investment services, wealth management, mortgage banking and private banking services, amongst others

## Business Objective

- The client wanted to manage its investment-related activities for its stockholders/investors. Few of the activities being dividend management, accounts reconciliation for investors, investment research and analysis of premium investors

## Results & Value Add

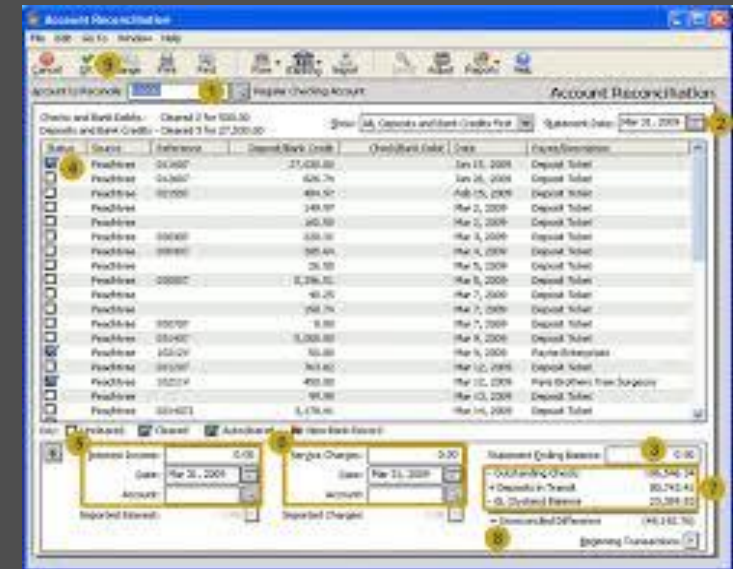
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- Efficient and accurate management of accounts and reconciliation of mutual fund investments
- Close co-ordination between RocSearch and client team
- Daily and monthly updates shared with client on its investors enabled it to proactively identify and rectify discrepancies
- We saved £20,000 for one of the investors in our client's portfolio, whose dividend was found to be missing in the bank statement. This saving further added to the client's delight

# Research Methodology and Approach

- Having analysed the client's requirements, the RocSearch team created macros for reconciling their investments. Our dedicated resources conducted research on the pending or open-ended line items to check for difference in its accounts
- We created a management information system, which could answer investors' questions on specific accounts, dividends earned, type of investments, etc
- The RocSearch team worked in multiple shifts, enabling smooth cash reconciliation based on client requirements. Different teams were created to manage daily and monthly reconciliation activities for the US and UK investors

# Screenshot





## Contact Us

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